

**CHHATRAPATI SHAHUJI MAHARAJ UNIVERSITY, KANPUR**  
**DOCTOR OF PHILOSOPHY (Ph.D.) DEGREE ORDINANCE 2021**  
 (Amended as per UGC regulation/notification dated May 5, 2016;  
 amendments October 16, 2018; August 27, 2018)

<b>6.04</b>	<p>Candidates already holding M. Phil. Degree as per UGC regulation 2018 for M.Phil. and admitted to the Ph.D. programme, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in integrated course, may be exempted by the Department from the Ph.D. course work.</p> <p>"If the candidate has completed his/her Pre-Ph.D. Course Work recognised by any Institution/University and thereafter transferred to any other such university shall not be required to complete his/her Pre Ph.D.-Course work again provided both Institution/University, where the candidate was already enrolled and where the candidate is transferred are recognised by the University Grants Commission (UGC) as per UGC Ph.D. regulation 2016."</p>
<b>6.05</b>	<p>Minimum attendance required to become eligible to appear in the qualifying examination for each paper shall be 75% of all class lectures (separately in theory and practical). In case a student is short of attendance due to illness, participation in sports, extracurricular activities etc., the following rules may be applied:</p> <p>(a) Shortage up to 5% may be condoned by the Dean, (Research) on the specific recommendations of the Convener/Dean/Head/Course Coordinator.</p> <p>(b) A shortage up to a maximum of 10% may be condoned by the Vice-Chancellor on the specific recommendations of the Convener/Dean/Course Coordinator through Dean, Research &amp; Development.</p> <p>However, minimum 60% attendance would be required even after such condonations.</p> <p>The University shall conduct an end semester qualifying examination based on the course work in general supervision of the Registrar or a Person nominated by the Vice-Chancellor. The pass marks in the Course Work shall be 55% or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) for all students separately in both the papers (aggregate in Internal and External combined).</p> <p>If a candidate fails to qualify the course work examination in first attempt, he will be given only one additional chance to clear the course work examination after submitting the requisite fees, along with the next regular batch. In case the candidate fails to clear in this attempt, no further chance to reappear shall be given to him/her.</p>
<b>7.</b>	<p><b>RESEARCH DEGREE COMMITTEE AND ITS FUNCTIONS:</b></p>

CHHATRAPATI SHAHU JI MAHARAJ UNIVERSITY, KANPUR  
DOCTOR OF PHILOSOPHY (Ph.D.) DEGREE ORDINANCE 2021  
(Amended as per UGC regulation/notification dated May 5, 2016;  
amendments October 16, 2018; August 27, 2018)

<b>7.01</b>	<p>On successful completion of course work, the student shall submit a synopsis for Ph.D. work. The synopsis shall be placed before a Research Degree Committee (hereinafter referred to as the RDC), which will be separate for each subject and shall consist of:</p> <ul style="list-style-type: none"><li>(a) the Vice-Chancellor as Chairman, or any eminent educationist nominated by the Vice Chancellor can act as the Chairman</li><li>(b) the Deans, Research &amp; Development as members,</li><li>(c) Dean of the faculty or the Director of the Institute or the Head of the Department in the case of the university campus departments or Convener, Board of Studies in the case of affiliated Colleges, as convener or an eminent academician nominated by the Vice Chancellor from the State/Central institution of high repute as member</li><li>(d) two experts nominated by the Vice-Chancellor in consultation with the members preferably of the Central/reputed institutions as members.</li></ul> <p>Note: Members mentioned at (b) and (c) above for one year.</p> <p>(e) Dy. Registrar/ Asst. Registrar as Secretary</p> <p>The Vice-Chancellor may invite a person of repute as additional member of the Committee. The RDC of a subject will normally meet twice in each academic session at regular intervals.</p> <ul style="list-style-type: none"><li>(c) Half of the members of the RDC shall form the quorum for the meeting.</li><li>(d) The minutes/reports of the RDC meetings shall be placed before the Vice Chancellor for approval.</li><li>(e) If the Dean, R&amp;D is unable to attend a meeting, the Associate Dean shall carry forward the RDC meeting. If convener is also not present then member nominated by rest of the members after approval from the Vice Chancellor shall conduct RDC meeting.</li></ul> <p>The Research Degree Committee (RDC) shall arrange for an online/offline interview/viva-voce which shall include Presentations on the research interest/area. During the interview/viva-voce the RDC shall also consider the following aspects, whether:</p> <ul style="list-style-type: none"><li>(a) The candidate possesses the competence for the proposed research.</li><li>(b) The research work can be suitably undertaken at the College/University academic Department.</li><li>(c) The proposed area of research can contribute to new/additional knowledge.</li></ul>
-------------	---

CHHATRAPATI SHAHU JI MAHARAJ UNIVERSITY, KANPUR  
DOCTOR OF PHILOSOPHY (Ph.D.) DEGREE ORDINANCE 2021  
(Amended as per UGC regulation/notification dated May 5, 2016;  
amendments October 16, 2018; August 27, 2018)

	<p>In case, the RDC finds that the synopsis is not up to the mark, it shall make specific suggestions for improving the synopsis. The candidate after making the necessary improvements shall re-submit his/her revised synopsis for approval within 30 days. The revised synopsis would to be placed before the next meeting of the RDC. If RDC is satisfied, it shall recommend the application to the Vice- Chancellor for permission being granted to the candidate for Registration in the Ph.D. Programme.</p> <p>In case, the synopsis is rejected, the candidate may submit the new synopsis within two months to be placed before the next meeting of the RDC. No further chance will be given after this re-consideration and then RDC may recommend for cancellation of registration.</p>
<b>8.</b>	<b>RESEARCH ADVISORY COMMITTEE AND ITS FUNCTIONS:</b>
<b>8.01</b>	<p>There shall be a Research Advisory Committee, or an equivalent body comprising of the coordinator nominated by the Vice-Chancellor as the Chairman, Convener and one subject expert nominated by the Vice-Chancellor for each subject. This Committee shall have the following responsibilities:</p> <p>(a) To review the research proposal and finalize the topic of research</p> <p>(b) To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have do.</p> <p>(c) To periodically review, assist in the progress of the research work of the research scholar.</p>
<b>8.02</b>	<p>The candidate shall be required to submit a progress report every six months to the University through Supervisor from the date of Ph.D. registration. A research scholar shall appear online/offline before the Research Degree Committee/Research Advisory Committee once in a year after approval of his/her synopsis to make a presentation of the progress of his/her work for evaluation and further guidance. The six-monthly progress reports shall be submitted to the RDC/RAC for evaluation and remarks/comments.</p> <p>In case, where a candidate fails to submit his/her progress report on time, those cases would be decided by the Vice Chancellor in consultation with RDC.</p>
<b>8.03</b>	<p>In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the University specifying reasons for cancellation of the registration of the research scholar.</p>